

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENTS

**Applicants:** Casimer M. DeCusatis, et al.

**Docket:** FIS920010069US1 (14401)

**Serial No.:** unassigned

**Dated:** May 17, 2001

**Filed:** herewith

**For:** APPARATUS AND METHOD FOR WAVELENGTH  
LOOPS FOR SYSTEMS AND APPLICATIONS  
EMPLOYING ELECTROMAGNETIC SIGNALS

Assistant Commissioner for Patents  
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, applicants submit the following references which applicants believe may be material to the above-identified patent application. A copy of the references which applicants wish to make of record in this case is enclosed herein for the Examiner's convenience along with a listing on Form PTO-1449 attached.

1. "INTRODUCTION TO DWDM TECHNOLOGY Data in a Rainbow", by Stamatis V. Kartalopoulos, Lucent Technologies, Inc., IEEE Communications Society, Sponsor, SPIE OPTICAL ENGINEERING PRESS, pp. 65-68;

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I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Dated: 5/25/01

*Henning Mear* 5/25/01



2. "INTRODUCTION TO DWDM TECHNOLOGY Data in a Rainbow",  
by Stamatios V. Kartalopoulos, Chapter 9, pp. 131-136; and

3. "Distributed feedback semiconductor lasers", by John Carroll, et al.,  
IEE Circuits, Devices and Systems Series 10, SPIE Press Monograph Volume PM52,  
1998, pp. 7-15.

All the references listed on Form PTO-1449 are in the English language,  
thus, a concise explanation of those references required by 37 C.F.R. §1.98(a)(3) is not  
necessary.

This Information Disclosure Statement is being submitted with the filing  
of this application; thus no fee or certification under 37 C.F.R. §1.97(e) is required.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Steven Fischman", with a long horizontal stroke extending to the right.

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